

No. F-12013/130/2013/RTI-UIDAI

Government of India

Planning Commission

Unique Identification Authority of India

2nd Floor, Tower-I, Jeevan Bharati Building,

Connaught Circus, New Delhi - 110 001.

Dated the 05 June, 2013

To

Ms. Qaneez-e-Fatemah Sukhrani
A/3 Hermes Drome I,
211/3/1B Viman Nagar
Pune-411014

Subject: Application under RTI Act, 2005 seeking information with regards to the Unique Identification Authority of India, Aadhaar, The National Identification Authority of India Bill, 2010-Regarding.

Sir,

Please refer to your RTI Application dated Nil received by the undersigned on 11th March, 2013. The point wise reply is given as under:

- ✓ **Q. 2 :** Copy of the order whereby the UIDAI has been given statutory authority.
- Reply :** A copy of notification issued by Planning Commission No. A-43011/02/2009-Admn.I dated 28th January, 2009 is enclosed.
- ✓ **Q. 3 :** List of partners including States, Union Territories, Petroleum Ministry, Banking Institutions, Financial & Educational institutes with whom UIDAI and / or Planning Commission has executed Memorandum of Understanding (MoU) to act as Registrars for implementation of this scheme in India and especially in Maharashtra.
- ✓ **Q. 4 :** Copy of the Memorandum of Understanding (MoU) executed by UIDAI and / or Planning with partners including roles, responsibilities and confidentiality clause.
- ✓ **Reply 3 & 4 :** The information is readily available on UIDAI website www.uidai.gov.in under Registrar - Registrar & Enrolment tab.
- ✓ **Q. 5 :** Copy of All reports of Standing Committee on Finance & action taken thereof after the Report /s were received by both House of Parliament.
- ✓ **Reply :** The copy of the report of the Standing Committee of Finance is available in the website of the UIDAI.
- ✓ **Q. 6 :** Names, qualifications, credentials, designation, basis of selection and all details of members of National Identification Authority of India.
Reply : No information is held with UIDAI.
- ✓ **Q. 7 :** Copy of SOPs, Guidelines and Report of Biometrics Standard Committee and methodology to process biometrics and exceptions thereof.
- ✓ **Q. 8 :** Names, qualification, credential and designation, basis of selection and all details of Biometric Standard Committee members.
- ✓ **Reply 7 & 8 :** Information sought is already in the public domain and can be downloaded from [http://uidai.gov.in/uid/PDF/Committees/Biometrics Standards Committee report.pdf](http://uidai.gov.in/uid/PDF/Committees/Biometrics%20Standards%20Committee%20report.pdf).
- ✓ **Q. 9 :** Detailed note on Central Identities Data Repository (CIDR) and the SOPs used for maintaining and updating every individual's demographic and biometric information.
- ✓ **Reply :** Please see UIDAI official website link to <http://uidai.gov.in/aahaar-technology.html>.
- ✓ **Q. 10 :** Detailed report on the security safeguards installed by CIDR and / or UIDAI for protection against unauthorized access of the information in it's possession.

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Reply : CIDR functions in ISO 27001 certified Tier III data Centres.

Q. 11 : List of entities with full details (of contract / agreement / MoU signed) authorized to establish and maintain CIDR.

Reply : HCL Infosystems Ltd has been awarded to manage CIDR, the same is relating to contracts & cannot be disclosed as per Section 8 (1) (d) of RTI Act, 2005

Q. 12 : List of documents and / or credential of introducer required for enrolment process by UIDAI.

Reply : For residents who have inability to prove their identities and devoid of any of the accepted PoI or PoA document, the concept of 'trusted introducers' is used to facilitate their enrolment. Introducers are appointed / designated by respective registrars of UIDAI after verifying their credentials who implement Aadhaar enrolment in the field. Introducers are individuals (for example, Registrar's employees, elected local body members, members of local administrative bodies, postmen, influencers such as teachers, health workers & doctors, Aanganwadi / ASHA workers, representative of local NGO's etc.) identified by a Registrar and registered in UIDAI's CIDR as 'introducers'. Introducers will be linked to a Registrar. The same Introducer may be used by more than one Registrar as long as they are identified by the concerned Registrar and registered in UIDAI's CIDR as 'introducers' for the particular Registrar. Therefore, the introducer can only introduce people within the Registrar's jurisdiction. In addition, a Registrar can further limit the operations of an introducer by administrative boundaries (State, district level). The credentials and eligibility criteria for an Introducer are

Introducer should have an Aadhaar number prior to appointment.

Introducer must be easily accessible to residents.

Introducer must be above the age of 18 years and must not have a criminal record.

Q. 13 : Budget and estimated cost of project on yearly basis / phase basis from start to finish India wide and in especially State of Maharashtra.

Reply : The estimated outlay for Aadhaar project from Phase I to Phase IV is Rs. 12,398.22 crores as per details as under :

SFC	-	Rs. 147.31 cr.
EFC-II	-	Rs. 3023.01cr.
EFC - III	-	Rs. 5791.74 cr.
EFC -IV	-	Rs. 3436.16 cr.
Total	-	Rs. 12398.22 cr

Q. 14 : Copy of approved yearly budget from Ministry of Finance and / or Parliament from start of this scheme.

Reply : Budget approval for Aadhaar project is being made in a phased manner as has been stated above against point 13. Copies of annual budget for the last years 2009-10 to 2012-13 are enclosed.

Q. 15 : Copy of yearly Audit Report from Controller & Auditor General from the year of inception of UIDAI.

Reply : No audit report has been received from CAG till date.

Q. 16 : Names, credentials, designation, basis for selection and all details of members of Identity Review Committee

Reply : No such committee exists in UIDAI.

Q. 18 : Copy of study of Proof of Concept to ensure low error rate and complete integrity when 1.2 billion residents are being enrolled and migrated to other systems.

Reply : Information sought is already in the public domain and can be downloaded. http://uidai.gov.in/images/Front_page_updates/uid_enrolment_poc_report.pdf.

Q. 19: Details of the offenses and its penalties by the appointed partners for contravention of the provisions of the draft proposed NIAI Bill 2010 and when / if passed by legislature.

Q. 20 : Copy of powers & discharge functions of NIAI Bill 2010.

✓ **Reply 19 & 20:** The draft Bill for establishing the UIDAI is available on the website <http://uidai.gov.in/legislation-and-guidelines.html> under the link Legislation and Guidelines.

✓ **Q. 21 :** Copy of notification dated 28th January, 2009 listing responsibilities given to UIDAI to lay down plans & policies to implement UID scheme, own & operate UID database and be responsible for it's updation & maintenance on an ongoing basis.

✓ **Q. 23 :** Copy of the relevant laws/statutes that covers UIDAI until such time that Parliament passes the Bill

✓ **Reply 21 & 23 :** The Authority is functioning under the Executive Order dated 28.01.2009, a copy enclosed.

✓ **Q. 22 :** Copies of the transcript of opinion of all individuals, experts, authorities and ministries who deposed before the Standing Committee on Finance for the Report 42 dated 09 December 2009.

✓ **Reply:** Information cannot be disclosed under Section 8(1)(c) of the RTI Act, 2005.

✓ **Q. 28 :** Names, qualification, title and basis of selection of the Empowered Group of Ministers constituted on 4th December 2006 for the purpose of collating the two schemes Unique Identification (UID) and National Population Register (NPR)

✓ **Reply :** No information is held with UIDAI.

✓ **Q. 30 :** Copy of SOPs, guidelines and Report of Demographic Standards Verification Procedure Committee with names, qualification, designation, criteria for selection of it's members.

✓ **Reply :** Available at UIDAI website.

✓ **Q. 31 :** Copy of SOPs, guidelines and Report of Awareness and Communication Council with names, qualification, designation, criteria for selection of it's members.

✓ **Reply :** No information is held with UIDAI.

✓ **Q. 33 :** Copy of MoU / Contract between National Intelligence Grid and UIDAI.

✓ **Reply :** No such document exists.

✓ **Q. 34:** Copy of MoU / Contract between National Population Registry and UIDAI.

✓ **Reply :** Information is already in the public domain and can be downloaded from the UIDAI website

✓ **Q. 37:** List of consultants, partners, organizations and agencies with MoU / Contract between selected Implementation entities.

✓ **Reply :** The information on empanelled Enrolment Agencies is available at UIDAI website – Registrar and Enrolment Tab.

✓ **Q.38:** Copy of definition of conflict mechanism, usage and applicability of UID for various services

✓ **Reply :** Conflict may arise between UIDAI and various stake holders who are engaged either as an AUA or ASA for providing the online authentication services of UIDAI and the manner and mechanism in which such conflict shall be addressed. This is clearly laid down under section 12 of the template AUA and ASA Agreement being signed by UIDAI with various approved AUAs and ASAs. Relevant extracts of the same is enclosed herewith.

For usage and applicability of UID for various services, a detailed document has been published by UIDAI titled " Aadhaar Enabled Service Delivery" which lays down the various usages and applicability of Aadhaar that can be used to re-engineer public services for efficient and better delivery of services. The aforesaid document is enclosed.

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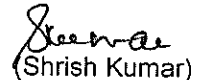
Q.39: Copy of implantation of UID scheme state – wise, it's life cycle from start to end covering all Residents.

✓ **Reply :** Aadhaar is basically an identity infrastructure and a platform which can be used by any program that involves authentication of identity of individuals and can be used to re-engineer equitable, efficient and better delivery of public services. It is in the domain of State Governments/ Ministries/Departments to decide whether delivery of the benefits and services should be linked to Aadhaar number and the extent to which the number should be used. UIDAI cannot define the nature of benefits and services that should be or can be delivered. The State Governments and Central Government Ministries have been advised to review the various schemes for service delivery to utilize and leverage the Aadhaar number as a platform for service delivery.

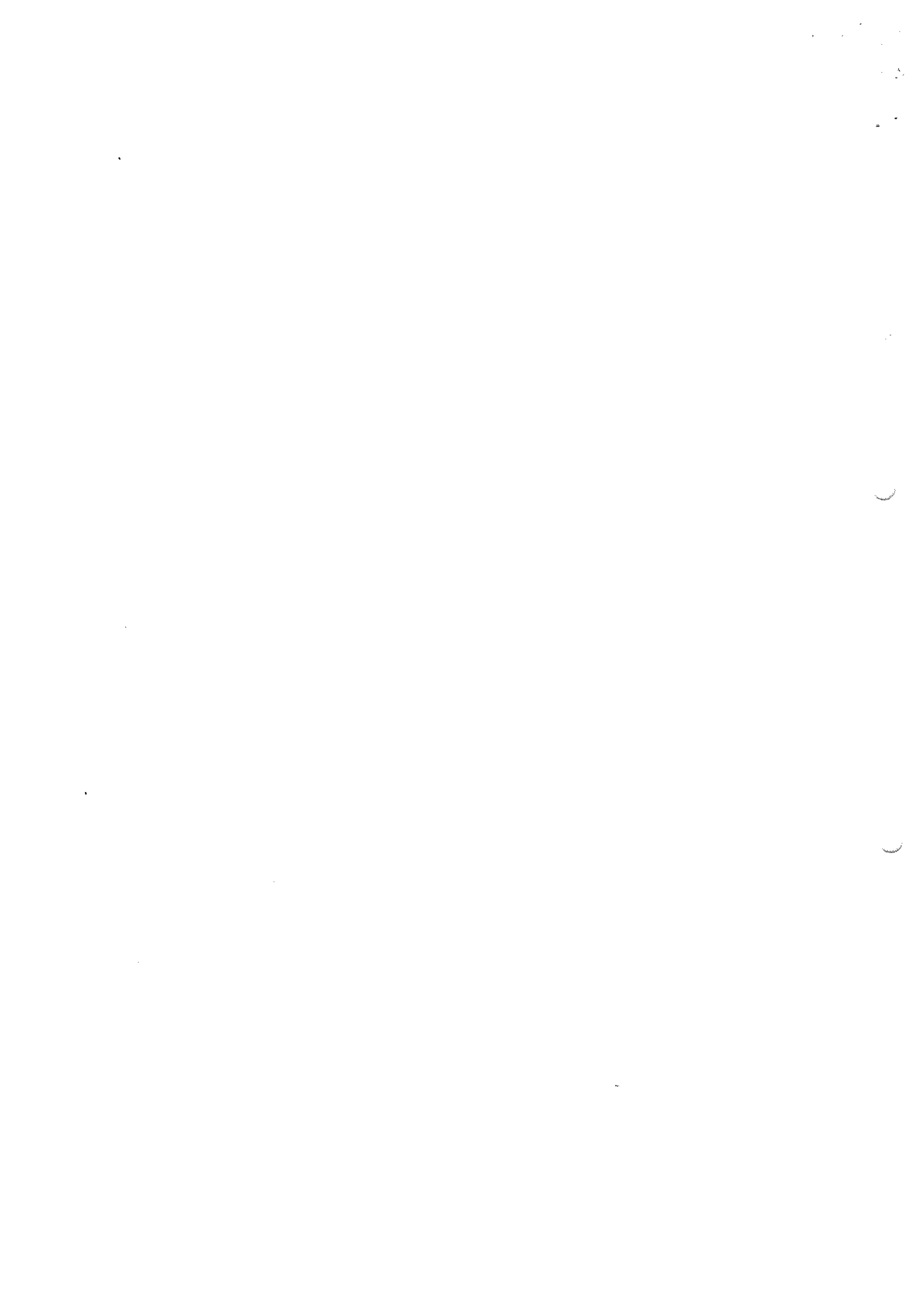
2. The reply/information for the remaining questions will be provided shortly. If you are not satisfied with the reply, you may appeal to the Appellate Authority, UIDAI within 30 days from the receipt of this letter. The name, address and contact number of the Appellate Authority is given below:-

Shri Davinder Kumar,
DDG & Appellate Authority,
Unique Identification Authority of India
Jeevan Bharti Building, 2nd Floor, Tower-I,
Connaught Circus, New Delhi – 110001.

Yours faithfully,


(Shrish Kumar)

Assistant Director General & CPIO
Tele : 011-23466823



(TO BE PUBLISHED IN PART-I, SECTION-2 OF THE GAZETTE OF INDIA)

GOVERNMENT OF INDIA
PLANNING COMMISSIONYojana Bhawan, Sansad Marg,
New Delhi, 28th January, 2009NOTIFICATION

No. A-43011/02/2009-Admn.I: In pursuance of Empowered Group of Ministers' fourth meeting, dated 4th November 2008, the Unique Identification Authority of India (UIDAI) is hereby constituted and notified as an attached office under aegis of Planning Commission with following terms of reference and initial core staff composition:-

COMPOSITION:

2. UIDAI shall be set up with an initial core team of 115 officials and staff as per details given below:

Post	Level	No. of Posts
UID Authority of India		
Director General & Mission Director	Additional Secretary Govt. of India	1
Deputy Director General (DDG)	Joint Secretary, Govt. of India	1
Assistant Director General (ADG)	Director, Govt. of India	1
Support Staff		
PS	PS	3
Peon	Peon	2
Driver	Driver	2
Total Manpower		10
State /UT Units of UIDAI		
State / UT UID Commissioner	Joint Secretary, Govt. of India	35
Support Staff		
PS	PS	35
Peon	Peon	35
Total Manpower		105
Grand Total		115

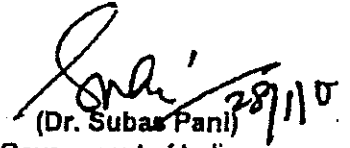


Role and Responsibilities of UIDAI

- 3 UIDAI shall have the responsibility to lay down plan and policies to implement UID Scheme, shall own and operate UID database and be responsible for its updation and maintenance on an ongoing basis.
- 4 Implementation of UID scheme will entail, *inter alia*, following responsibilities being undertaken by UIDAI:
 - Generate and assign UID to residents
 - Define mechanisms and processes for interlinking UID with partner databases on a continuous basis
 - Frame policies and administrative procedures related to updation mechanism and maintenance of UID database on an ongoing basis
 - Co-ordinate / liaise with implementation partners and user agencies as also define conflict resolution mechanism
 - Define usage and applicability of UID for delivery of various services
 - Operate and manage all stages of UID lifecycle
 - Adopt phased approach for implementation of UID specially with reference to approved timelines
 - Take necessary steps to ensure collation of NPR with UID (as per approved strategy)
 - Ensure ways for leveraging field level institutions appropriately such as PRIs in establishing linkages across partner agencies as well as its validation while cross linking with other designated agencies
 - Evolve strategy for awareness and communication of UID and its usage
 - Identify new partner /user agencies
 - Issue necessary instructions to agencies that undertake creation of databases, to ensure standardization of data elements that are collected and digitized and enable collation and correlation with UID and its partner databases
 - Frame policies and administrative procedures related to hiring / retention / mobilization of resources, outsourcing of various tasks and budgeting & planning for UIDAI and all State units under UIDAI.
5. Planning Commission shall be the nodal agency for UIDAI for providing logistics, planning and budgetary support. Planning commission would provide initial office and IT infrastructure at central level.



6. Government housing will be provided to officers of UIDAI appointed on deputation from general pool of Department of Urban Development.


(Dr. Subas Pani) 28/11/0

Secretary to the Government of India

The General Manager
Govt. of India Press
Faridabad.

Copy to:

1. Secretary to the President, Rashtrapati Bhavan, New Delhi
2. Secretary to the Vice-President, Maulana Azad Road, New Delhi
3. Cabinet Secretary, Rashtrapati Bhavan, New Delhi
4. Principal Secretary to the Prime Minister, South Block, New Delhi
5. Private Secretary to the Deputy Chairman, Planning Commission
6. All Ministers/Departments of Govt. of India
7. Chief Secretaries of all States/Union Territories
8. Secretary General, Rajya Sabha Secretariat, New Delhi
9. Secretary General, Lok Sabha Secretariat, New Delhi
10. Pr. Adviser (Admn & PC)/AS & FA/Adviser (C & I)/Director (GA)/DS (Admn.)
11. Pay & Accounts Officer, Planning Commission
12. Drawing & Disbursing Officer, Planning Commission
13. Accounts -I Section, Planning Commission.

Statement showing BE, RE and final Estimates for the year 2009-10

Major Head	Sub Head/ Scheme	Object Heads	Description	Budget Estimates 2009- 2010 as per detailed demands for grants (Rupees in thousand)	Revised Estimates 2009- 2010 (Rupees in thousand)	Final Estimates 2009-2010 (Rupees in thousand)
3454	01-UIDAI	1.01	Establishment			
		01.01.01	Salaries	110900	10000	4780
		01.01.02	Wages	5000	480	0
		01.01.03	Overtime Allowance	1200	120	7
		01.01.06	Medical Treatment	5000	900	0
		01.01.11	Domestic Travel	39100	9000	2202
		01.01.12	Foreign Travel	5000	1200	0
		01.01.13	O.E.	200000	40000	40000
		01.01.14	Rent rates taxes	225000	77500	59601
		01.01.16	Publication	4500	2000	1358
		01.01.20	OAE	20000	7000	225
		01.01.28	Professional Services	130000	50000	20743
		01.01.31	Grant-in-aid	5000	1500	625
		01.01.50	Other charges	110000	6000	0
		1.99	IT			
		01.99.13	O.E.	40000	27500	64274
		01.99.20	OAE	27200	6000	0
			Total	927900	239200	193815
5475	38-UIDAI	38.99	IT			
		38.99.52	Machinery & Equipment	272100	70000	70000
			Total	272100	70000	70000



		(Rupees in thousand)	
Head of Account	Description	Budget Estimates 2010-11	Revised Estimates 2010-11
01.01.31	Grants-in-aid	5000	2500
01.01.50	Other Charges	110000	6000
01.99	Information Technology		
01.99.13	Office Expenses	40000	100000
01.99.14	Rent, Rates, Taxes	412000	412000
01.99.20	Other Administrative Expenses	1027200	1050000
01.99.28	Professional Services	969600	622750
01.99.31	Grants-in-aid	5000	2500
01.02	Assistance to Registrars for Enrolling Residents		
01.02.50	Other Charges	13000000	7417100



Name of the Office: Unique Identification Authority of India

Statement of Budget Estimates

Non-Plan and Plan - Demand No. 73 - Ministry of Planning

Head of Account	Description	Budget Estimates 2010-11	Revised Estimates 2010-11
		(Rupees in thousand)	
3454	Census Surveys and Statistics (Major Head)		
02	Surveys and Statistics (Sub Major Head)		
02.206	Unique Identification Scheme (Minor Head)		
01	Unique Identification Authority Of India		
01.01	Establishment		
01.01.01	Salaries	260000	175000
01.01.02	Wages	5000	200
01.01.03	Overtime Allowance	1200	600
01.01.06	Medical Treatment	15000	7600
01.01.11	Domestic Travel Expenses	40000	40000
01.01.12	Foreign Travel Expenses	15000	15000
01.01.13	Office Expenses	400000	400000
01.01.14	Rent, Rates, Taxes	230000	230000
01.01.16	Publications	10000	5000
01.01.20	Other Administrative Expenses	20000	20000
01.01.26	Advertising and Publicity	500000	500000
01.01.28	Professional Services	130000	2500



Expenditure Review Report of UIDAI for the period ending March, 2012

Plan-Revenue			
Major Head	Object Head	BE-2011-12	RE 2011-12
3454.02.206	Unique Identification Authority of India		
01.01	Establishment		
01.01.01	Salaries	150000	154560
01.01.02	Wages	200	160
01.01.03	Overtime Allowance	300	180
01.01.06	Medical Treatment	10000	2600
01.01.11	Domestic Travel Expenses	40000	25000
01.01.12	Foreign Travel Expenses	15000	10000
01.01.13	Office Expenses	95000	213200
01.01.14	Rent, Rates, Taxes	150000	275000
01.01.16	Publications	5000	2500
01.01.20	Other Administrative Expenses	20000	41400
01.01.26	Advertising and Publicity	100000	500000
01.01.28	Professional Services	1500	200
01.01.31	Grants-in-aid	1500	0
01.01.50	Other Charges	1500	1000
01.02	Assistance to Registrars for Enrolling Residents		
01.02.50	Other Charges	5000000	4740000
01.99	Information Technology		
01.99.13	Office Expenses	45000	97800
01.99.14	Rent, Rates, Taxes	150000	73500
01.99.20	Other Administrative Expenses	1500000	1024400
01.99.28	Professional Services	210000	335800
01.99.31	Grants-in-aid	5000	2700
01	Total-Unique Identification Authority of India	7500000	7500000
3454	Total-Unique Identification Authority of India	7500000	7500000
4059	Capital Outlay on Public Works		
01	Office Buildings		
01.051	Construction		
07	Unique Identification Authority of India		
07.00.53	Major Works	200000	100000
07	Total-Unique Identification Authority of India	200000	100000
4059	Total-Major Head	200000	100000
5475	Capital Outlay on other General Economic Services		
00.112	Statistics		
38	Unique Identification Authority of India		
38.00.53	Major Works	2000000	400000
38.99	Information Technology		
38.99.52	Machinery & Equipment	5000000	4000000
38	Total-Unique Identification Authority of India	7000000	4400000
5475	Total-Major Head	7000000	4400000
	Total-Capital Section	7200000	4500000
	Grand Total (Revenue+Capital)	14700000	12000000

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11

12

Name of the office :

Statement of Budget Estimates			
Non-Plan and Plan - Demand No. 74 - Ministry of Planning			(INR In
Major Head	Schemes/Sub-Heads /Detailed Heads	Budget Estimates 2012-13	Revised Estimates 2012-13
3454	Census Surveys and Statistics (Major Head)		
02	Surveys and Statistics (Sub Major Head)		
02.206	Unique Identification Scheme (Minor Head)		
01	Unique Identification Authority Of India		
01.01	Establishment		
01.01.01	Salaries	180000	180000
01.01.02	Wages	200	100
01.01.03	Overtime Allowance	200	100
01.01.06	Medical Treatment	10000	5000
01.01.11	Domestic Travel Expenses	30000	35000
01.01.12	Foreign Travel Expenses	10000	10000
01.01.13	Office Expenses	223000	223000
01.01.14	Rent, Rates, Taxes	300000	310000
01.01.16	Publications	5000	5000
01.01.20	Other Administrative Expenses	40000	30000
01.01.26	Advertising and Publicity	300000	300000
01.01.28	Professional Services	1500	800
01.01.31	Grants-in-aid	50	0
01.01.50	Other Charges	1400	1000



01.02	Assistance to Registrars			
01.02.50	Other Charges	7150000		6150000
01.99	Information Technology			
01.99.13	Office Expenses	100000		100000
01.99.14	Rent, Rates, Taxes	120000		120000
01.99.20	Other Administrative Expenses	4225900		3226500
01.99.28	Professional Services	300000		300000
01.99.31	Grants-in-aid	2750		3500
3454	Total- Major Head	13000000		11000000
	Total- Revenue section	13000000		11000000
4059	Capital Outlay on Public Works			
01	Office Buildings			
01.051	Construction			
07	Unique Identification Authority of India			
07.00.53	Major Works	10000		0
07	Total	10000		0
4059	Total-Major Head	10000		0
5475	Capital Outlay on other			
00.112	Statistics			0
38	Unique Identification Authority of India			
38.00.53	Major Works	570000		400000
38.99	Information Technology			
38.99.52	Machinery and Equipment	400000		2100000
38	Total-	4570000		2500000
5475	Total-Major Head	4570000		2500000
	Total-Capital Section	4580000		2500000
	Grand Total (Revenue +	17580000		13500000

